

## HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

UTILITY  
PATENT APPLICATION  
TRANSMITTAL(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))

Attorney Docket No.

3646.1001-013

First Named Inventor or  
Application Identifier

James A. Shayman

Express Mail Label No.

EV214957287US

Title of  
Invention

AMINO CERAMIDE-LIKE COMPOUNDS AND THERAPEUTIC METHODS OF USE

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification **Total Pages 25**  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) **Total Sheets 3**  
☐ Fig. of the Drawings for Publication ☐  
☒ No Figure to be Published
4. ☒ Oath or Declaration **Total Pages 3**
- a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 17 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 C.F.R. 1.63(d)(2)  
and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form
  - b. ☐ Paper Copy (identical to computer copy)  
☐ Pages
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents)  
☒ Assignee - The Regents of the University of Michigan  
Ann Arbor, Michigan
8. ☐ Power of Attorney ☐ 37 C.F.R. 3.73(b) Statement
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard
13. ☐ Small Entity Statement(s)
- 14a. ☐ Foreign Priority Claim under 35 U.S.C. §119 or 365
- 14b. ☐ Certified Copy of Priority Document(s)
15. ☐ Nonpublication Request (check parent application)
16. ☒ Other Remarks /Change of Correspondence Address; copy of  
Revocation of Powers of Attorney and Appointment of  
New Attorneys and/or Agents and Change of  
Correspondence Address; and copy of Statement Under  
37 C.F.R. § 3.73(b).

17. If a CONTINUING APPLICATION, check appropriate box; supply the requisite information.

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/134,314

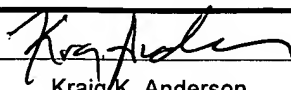
Prior application information: Examiner: Shailendra Kumar Group Art Unit: 1621

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is  
hereby incorporated by reference.  
(Add standard Related Applications section with incorporation by reference to specification or update same)

## 18. CORRESPONDENCE ADDRESS

NAME	Customer No. 021005				
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.				
ADDRESS	530 Virginia Road, P.O. Box 9133				
CITY	Concord	STATE	MA	ZIP CODE	01742-9133
COUNTRY	USA	TELEPHONE	(978) 341-0036	FAX	(978) 341-0136

Signature



Date

April 15, 2004

Submitted by  
Typed or Printed Name

Kraig K. Anderson

Reg. Number

54,961

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James A. Shayman

Continuation Application of

Application No.: 10/134,314

Filed: April 29, 2002

For: AMINO CERAMIDE-LIKE COMPOUNDS AND  
THERAPEUTIC METHODS OF USE

Date: 4-15-04

EXPRESS MAIL LABEL NO. EV214957287US

REMARKS/CHANGE OF CORRESPONDENCE ADDRESS

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-captioned application is a continuation of application number 10/134,314 filed on April 29, 2002 to which priority is claimed under 35 U.S.C. §120.

Applicant is submitting under separate cover a Petition for Extension of Time and fee due in the parent application to maintain copendency between the parent application and the instant continuation application.

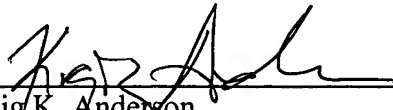
The specification of the present application is substantially the same as that of the parent application. The related applications paragraph has been revised to include a specific reference to the parent application. A typographical error was corrected on page 1, line 16 to correct the spelling of the word "glycosphingolipids". No new matter has been added.

All of the claims from the parent application are being presented.

Pursuant to 37 C.F.R. 1.63(d)(4), please note that a new Power of Attorney and a Notice of Change of Correspondence Address was submitted in parent Application No. 10/134,314. Please send all correspondence in the above-identified continuing application to: Customer No. 021005; Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. In addition, please direct all telephone calls to **Steven G. Davis, Esq.** at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By   
Kraig K. Anderson  
Registration No. 54,961  
Telephone: (978) 341-0036  
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated: *April 15, 2004*